

PATENT MAINTENANCE
SSF-08500

2006 MAY 15 PM 4: 52

US PATENT & TRADEMARK
OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application: William Dale Jones)

Serial No.: 10/630,649)

Filed: July 29, 2003)

For: **CONTROL OF FLUID FLOW IN
THE PROCESSING OF AN
OBJECT WITH A FLUID**)

**REQUEST FOR REFUND (IMPROPER
CHARGE OF DEPOSIT ACCOUNT)**

162 North Wolfe Road
Sunnyvale, CA 94086
(408) 530-9700

Attn: Refund Section, Accounting Division
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450.

Sir:

This is a request for a refund, with respect to the charge to Deposit Account 08-1275, shown on the attached statement dated April 28, 2006 for the above-identified patent application.

An Information Disclosure Statement was filed on April 18, 2006 (see copy of transmittal letter, certification for information disclosure statement and stamped received postcard). Deposit Account 08-1275 was debited \$180.00 on April 28, 2006, however, a certification was filed within the three statutory time period.

Therefore, no information disclosure statement fee should have been debited from the deposit account.

Applicant respectfully requests a credit to Deposit Account 08-1275 in the amount of \$180.00. The Commissioner is hereby authorized to credit Deposit Account 08-1275. An originally executed duplicate of this Request in enclosed for this purpose.

Respectfully submitted
HAVERSTOCK & OWENS LLP

Dated: 5-12-06

By: Thomas B. Haverstock

Thomas B. Haverstock
Registration No.: 32,571
Attorneys for Applicant(s)

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP

Date: 5-12-06 By: [Signature]

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Trademark Office**

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Deposit Account Statement

Requested Statement Month:

April 2006

Deposit Account Number:

081275

Name:

HAVERSTOCK & OWENS LLP

Attention:

DANIELLE DALTON

Address:

162 NORTH WOLFE ROAD

City:

SUNNYVALE

State:

CA

Zip:

94086

Country:

UNITED STATES OF AMERICA

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
04/03	1	10364124	SSI-06000	1806	\$180.00	\$3,007.00
04/05	3	10680783	SSI-04001(SSI-040US1)	1806	\$180.00	\$2,827.00
04/05	8	PCT/US06/04813	HAVA-00300WO	8007	-\$40.00	\$2,867.00
04/05	1315	76520406	WILL-00101	7004	\$150.00	\$2,717.00
04/07	2	10351214	SSI-04600	1251	\$120.00	\$2,597.00
04/11	81	11399858		9204	-\$250.00	\$2,847.00
04/12	1	10264581	BRAUN-00101	2201	\$200.00	\$2,647.00
04/13	42	PAYMENT		9203	-\$2,000.00	\$4,647.00
04/17	4	09611676	63186	1811	\$100.00	\$4,547.00
04/17	506	76554864	SCI-01800	7004	\$150.00	\$4,397.00
04/18	169	60792093		9204	-\$100.00	\$4,497.00
04/20	27	11326225	ATI-00000/ATI-00701	8021	\$240.00	\$4,257.00
04/25	46	6466564		9204	-\$900.00	\$5,157.00
04/25	389	11409327		9204	-\$500.00	\$5,657.00
04/27	1	10927400	FL-00201	2201	\$100.00	\$5,557.00
04/27	2	10927400	FL-00201	2202	\$25.00	\$5,532.00
04/27	2	10364124	SSI-06000	1806	\$180.00	\$5,352.00
04/27	3	10121791	SSI-02500	1806	\$180.00	\$5,172.00
04/27	21	11189626	SONY-09302	1814	\$20.00	\$5,152.00
04/28	1	10630649	SSI-08500	1806	\$180.00	\$4,972.00
		START BALANCE	SUM OF CHARGES	SUM OF REPLENISH	END BALANCE	
		\$3,187.00	\$2,005.00	\$3,790.00	\$4,972.00	

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Serial No.: 10/630649 H&O File No 551-08500 3y: TBH
In the Matter of The Application of: William Dale Jones F
Date Mailed: 4/18/06 Date: 4/30/06
The following has been received in the U.S. Patent and Trademark Office on the date stamped hereon:

- | | | |
|--|--|--|
| <input type="checkbox"/> Design Patent Application _____ Pages | <input type="checkbox"/> Form PTO-1533 (Not. of Missing Parts) | <input checked="" type="checkbox"/> Transmittal Letter <input checked="" type="checkbox"/> Duplicate |
| <input type="checkbox"/> Utility Patent Application _____ Pages | <input type="checkbox"/> Form PTOL-85B (Issue Fee Trans.) & dup. | <input checked="" type="checkbox"/> Certificate of Mailing |
| <input type="checkbox"/> Prov. Patent Application _____ Pages | <input type="checkbox"/> Form PTO-1050 (Cert. of Correction) | <input type="checkbox"/> Certificate of Express Mailing |
| <input type="checkbox"/> Declaration/Oath | <input type="checkbox"/> Maintenance Fee Transmittal Form | Label No _____ |
| <input type="checkbox"/> Power of Attorney _____ | <input type="checkbox"/> Reexamination Request | <input type="checkbox"/> Check(s) \$ _____ |
| <input type="checkbox"/> Assignment | <input type="checkbox"/> Notice of Appeal | <input checked="" type="checkbox"/> Deposit Account Authorization Form |
| <input type="checkbox"/> Form PTO-1594/1595 | <input type="checkbox"/> Affidavit/Declaration | |
| <input type="checkbox"/> Small Entity Statement | <input type="checkbox"/> Copy of Filing Receipt | |
| <input type="checkbox"/> Drawings: _____ Sheets(s) | <input type="checkbox"/> Req. for Corr. of: _____ | |
| <input type="checkbox"/> Formal <input type="checkbox"/> Informal | <input type="checkbox"/> Request for Non-Publication | |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Rescission of Request for Non-Publication | |
| <input checked="" type="checkbox"/> Form PTO-1449 and Copies of _____ 7 | <input type="checkbox"/> _____ | |
| References Contained Thereon | <input type="checkbox"/> _____ | |
| <input checked="" type="checkbox"/> Information Disclosure Certification | <input type="checkbox"/> _____ | |
| <input type="checkbox"/> Amendment/Response | <input type="checkbox"/> _____ | |
| <input type="checkbox"/> Extension of Time Request: _____ Months(s) | <input type="checkbox"/> _____ | |
| <input type="checkbox"/> Petition | <input type="checkbox"/> _____ | |

FOR PTO USE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Group Art Unit: 3746
William Dale Jones)	Examiner: Rodriguez, William H.
Serial No.: 10/630,649)	<u>TRANSMITTAL LETTER</u>
Filed: July 29, 2003)	162 N. Wolfe Road
For: CONTROL OF FLUID FLOW IN)	Sunnyvale, CA 94086
THE PROCESSING OF AN)	(408) 530-9700
OBJECT WITH A FLUID)	Customer No. 28960

MS: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

COPY

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 4-18-06

By: Thomas B. Haverstock
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP.

Date: 4/18/06 By: fler

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

William Dale Jones

Serial No.: 10/630,649

Filed: July 29, 2003

For: **CONTROL OF FLUID FLOW IN
THE PROCESSING OF AN
OBJECT WITH A FLUID**

) Group Art Unit: 3746

) Examiner: Rodriguez, William H.

) TRANSMITTAL LETTER

) 162 N. Wolfe Road
) Sunnyvale, CA 94086
) (408) 530-9700

) Customer No. 28960

MS: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

COPY

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

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Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 4/18/06

By: Thomas B. Havestock

Thomas B. Havestock
Reg. No.: 32,571

Attorneys for Applicant

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HAVERSTOCK & OWENS LLP.
Date: 4/18/06 By: Flu

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PATENT
Attorney Docket No.: SSI-08500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

William Dale Jones

Serial No.: 10/630,649

Filed: July 29, 2003

For: **CONTROL OF FLUID FLOW IN
THE PROCESSING OF AN
OBJECT WITH A FLUID**

) Group Art Unit: 3746

) Examiner: Rodriguez, William H.

) **CERTIFICATION FOR**
) **SUPPLEMENTAL INFORMATION**
) **DISCLOSURE STATEMENT UNDER 37**
) **CFR § 1.97(e)**

) 162 N. Wolfe Road
) Sunnyvale, CA 94086
) (408) 530-9700

) Customer No. 28960

MS: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

COPY

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

Certification

I hereby certify that the attached seven (7) references contained in this supplemental information disclosure statement were cited within an official action from a foreign patent office mailed on January 31, 2006. Accordingly, the references contained in the supplemental information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement. 37 C.F.R. 1.97(e)(1).

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Identification of Person Making Certification

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 4-18-06

By Thomas B. Haverstock
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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HAVERSTOCK & OWENS LLP.
Date: 4/18/06 By: [Signature]

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This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,
HAVERSTOCK & OWENS LLP

Dated: 4-18-06

By: Thomas B. Haverstock
Thomas B. Haverstock
Reg. No.: 32,571

Attorneys for Applicant

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HAVERSTOCK & OWENS LLP.
Date: 4/18/06 By: [Signature]

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FORM PTO-1449 (Modified)		U.S. Department of Commerce Patent and Trademark Office		Attorney Docket No.: SS1-08500	Serial No.: 10/630,649		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use Several Sheets If Necessary)				Applicant: William Dale Jones			
(37 CFR § 1.98(b))				Filing Date: July 29, 2003	Group Art Unit: 3746		
U.S. PATENT DOCUMENTS							
Examiner Initials	Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date	
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS							
	Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
						Yes	No
	AK	EP 0 726 099 A2	08/14/96	EPO	B08B	5/00	X
	AL	JP 4-17333	01/22/92	JPO	H01L	21/304	X
	AM	JP 8-252549	10/01/96	JPO	B08B	7/00	X
	AN	JP 9-43857	02/14/97	JPO	G03F	7/42	X
	AO	JP 10-260537	09/29/98	JPO	G03F	7/42	X
	AP	JP 11-274132	10/08/99	JPO	H01L	21/304	X
	AQ	JP 63-179530	07/23/88	JPO	H01L	21/304	X
	AR						
	AS						
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OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)							
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	AV						
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Examiner: _____				Date Considered: _____			
EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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04/28/2006 DBREWER 00000001 081275 10630649

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Adjustment date: 07/11/2006 SDENBOB1
04/28/2006 DBREWER 00000001 081275 10630649
01 FC:1806 180.00 CR

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